



Position Title: Compliance Specialist

Position Type

Full-Time

Work Authorization

U.S Citizen or U.S. National, Permanent U.S. Resident

Description

Role Purpose: The Compliance Specialist's primary role is to help ensure the company's compliance with all applicable Bank Secrecy Act (BSA) and Anti-Money Laundering (AML) regulations applicable to the money service business (MSB), primarily derived from the USA PATRIOT Act, the FinCEN and State Regulators.

Responsibilities: Ensure that all Money Laundering and Compliance regulations are adhered to at all times; Aid the Compliance Officer as an independent review and evaluation body to ensure that compliance issues and concerns within the organization are being appropriately evaluated, investigated, and resolved; Assist the Compliance Officer in identifying potential areas of compliance vulnerabilities and risk, helping to create strategies that help identify and mitigate potential risk; Assist the Compliance Officer in developing, initiating, maintaining, and revising policies and procedures for the general operation of the Compliance program and its related activities to prevent illegal, unethical, or improper conduct; Help prepare and submit Quarterly, Annual, and other State specific requirements related to CXI's licensing requirements; Assist in completing yearend reviews for established wholesale customer (High/Med/Low); Help the licensing department to ensure CXI is licensed properly for all business activities facilitated in each jurisdiction it operates; Assist in preparing correspondence between CXI's compliance team and regulators, law enforcement and auditors as needed; Provide assistance with all MT applications to ensure they are filed in accordance with regulatory requirements and accurately represents CXI processes; Assist in preparing and fulfilling all renewals in a timely fashion; Assist in preparation of all due diligence requests from wholesale customers; Assist in preparation of all audit requests from all regulators and in the approval of new customers and agents

Location

Orlando, FL

Desired Major(s)

Business Related Degree (Preferred)

Salary Level / Compensation Type

Discussed in Interview

How To Apply

Email your resume (PDF or Word Document) to Recruitment@ceifx.com

